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ARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER FORM PTO-1390 (REV 11-98) 084527-000000US TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE September 16, 1997 PCT/AU98/00769 September 16, 1998 — TITLE OF INVENTION UTILIZATION OF FILTERING EFFECTS IN STEREO HEADPHONE DEVICES APPLICANT(S) FOR DO/EO/US GLENN NORMAN DICKINS, DAVID STANLEY MCGRATH, ADAM RICHARD, MCKEAG, RICHARD JAMES CARTWRIGHT ANDREW PETER REILLY Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. \mathbf{x} A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. lx is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (including 8 references) An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. A change of power of attorney and/or address letter. 16. KX Other items or information:

International Search Report, International Preliminary Examination Report,

copy of published application

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U.S. APPLICATION NO. (If brown, see 37 CFR 1.5) O Q / 5 0 8 7 13 DITERNATIONAL APPLICATION NO. PCT/AU98/00769					084527-000000US	
					CALCULATIONS PTO USE ONLY	
17. X The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):						
Neither international preliminary examination fee (37 CFR 1.482)						
nor international search fee (37 CFR 1 445(a)(2)) paid to USPTO						
and Internation	nal Search Repo	ort not preban	ed by the EPO or JPO · · · ·	\$970.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 970	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 months from the earliest claimed priority date (37 CFR 1.492(e)).					S	
CLAIMS	NUMBER		NUMBER EXTRA	RATE		
Total claims	51	- 20 =	+31	X \$18.00	\$ 558	
Independent claims	8	- 3 =	+5	X \$78.00	s 390	
MULTIPLE DEP	ENDENT CLAIM	(S) (if applicat		+ \$260.00	S	
TOTAL OF ABOVE CALCULATIONS =					\$ 1,918°	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also by filed (Note 37 CFR 1.9, 1.27, 1.28).					s	
SUBTOTAL =					\$	
Processing fee of \$130.00 for furnishing the English translation later than 20 30					s	
months from the earliest claimed priority date (37 CFR 1.492(f)).					3	
TOTAL NATIONAL FEE =					\$ 1,918	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					s	
TOTAL FEES ENCLOSED =					\$ 1,918	
					Amount to be:	S
					<u>refunded</u>	s
					charged	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. XX Please charge my Deposit Account No. 20-1430 in the amount of \$1,918 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1430 A duplicate copy of this sheet is enclosed.						
	,					
NOTE: When	re an appropria)) must be filed	te time limit and granted	under 37 CFR 1.494 or 1.49 to restore the application to	95 bas not been been pending status.	met, a petition to re	evive (37 CFR
		U	•••	1)//	11
SEND ALL CORRESPONDENCE TO:						
Robert J. Bennett						
Townsend and Townsend and Crew LLP						
ISan Francisco CA 0/111					bert J. Bennet	t
NOWE						
				27	,533	
REGISTR/					ATION NUMBER	

EXPRESS MAIL NO. EL394872095US

DATE OF DEPOSIT: March 15, 2000

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231.

By: My/Pll/

Attorney Docket No. 084527-000000US

Enclosures: Form PTO-1390, preliminary amendment, copy of

published application, IDS, ISR, 8 references, IPER

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